IAP6 RGC'G PCT/PTO 04 APR 2006

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450

Alexandria, VA 22313 on March 30.2006

Frank C. Eisenschenk, Ph.D., Patent Attorney

Examining Group, Patent Application Docket No. UTR-106XC1 Serial No. 10/541,048

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

Kimberly D. Gwinn, James Green, Susan Hamilton

Serial No.

10/541,048

Filed

June 29, 2005

For

Use of Herbs as a Delivery System for Bioactive Phytochemicals

Mail Stop PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313

### TRANSMITTAL LETTER

Sir:

The above-identified patent application was filed with an unsigned Declaration (37 CFR 1.63) and Power of Attorney form. Transmitted herewith is a fully executed Declaration (37 CFR 1.63) and Power of Attorney form for the subject application. A Notification of Missing Requirements Under 35 USC 371 in the United States Designated/Elected Office (DO/EO/US) was received from the Patent and Trademark Office, and a copy of that Notice is attached hereto.

Please charge the surcharge of \$65.00 to Deposit Account No. 19-0065. The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account No. 19-0065. Two copies of this transmittal letter are enclosed.

04/07/2006 HKAYPAGH 00000151 190065 10541048

65.00 DA

Respectfully submitted,

Frank C. Eisenschenk, Ph.D.

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01 FC:2617

Attachments: executed Declaration and Power of Attorney; copy of Notification of Missing

Requirements.

J:\UTR\106XC1\NTFMP.Res.doc/DNB/s1

PRIORITY DATE

01/03/2003



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. BOX 1450 Alexandria, Viginia 22313-1450

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/541,048 Kimberly D Gwinn UTR-106XC1

INTERNATIONAL APPLICATION NO.

PCT/US03/22272

23557
SALIWANCHIK LLOYD & SALIWANCHIK
A PROFESSIONAL ASSOCIATION

PO BOX 142950 GAINESVILLE, FL 32614-2950 FEB 2 0 2006 4

CONFIRMATION NO. 6089
371 FORMALITIES LETTER

| 1881 | 1881 | 1881 | 1881 | 1881 | 1881 | 1881 | 1881 | 1881 | 1881 | 1881 | 1881 | 1881 | 1881 | 1881 | 188

OC000000018010832\*

I.A. FILING DATE

07/15/2003

Date Mailed: 02/14/2006

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 06/29/2005
- Copy of the International Search Report filed on 06/29/2005
- o Preliminary Amendments filed on 06/29/2005
- Oath or Declaration filed on 06/29/2005
- Small Entity Statement filed on 06/29/2005
- Request for Immediate Examination filed on 06/29/2005
- U.S. Basic National Fees filed on 06/29/2005
- Priority Documents filed on 06/29/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/541,048	PCT/US03/22272	UTR-106XC1

FORM PCT/DO/EO/905 (371 Formalities Notice)